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Nerolidol: A Sesquiterpene Alcohol with Multi-Faceted Pharmacological and Biological Activities

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Abstract Go to: ✓

Nerolidol (3,7,11-trimethyl-1,6,10-dodecatrien-3-ol) is a naturally occurring sesquiterpene alcohol that is present in various plants with a floral odor. It is synthesized as an intermediate in the production of (3E)-4,8-dimethy-1,3,7-nonatriene (DMNT), a herbivore-induced volatile that protects plants from herbivore damage. Chemically, nerolidol exists in two geometric isomers, a *trans* and a *cis* form. The usage of nerolidol is widespread across different industries. It has been widely used in cosmetics (e.g., shampoos and perfumes) and in non-cosmetic products (e.g., detergents and cleansers). In fact, U.S. Food and Drug Administration (FDA) has also permitted the use of nerolidol as a food flavoring agent. The fact that nerolidol is a common ingredient in many products has attracted researchers to explore more medicinal properties of nerolidol that may exert beneficial effect on human health. Therefore, the aim of this review is to compile and consolidate the data on the various pharmacological and biological activities displayed by nerolidol. Furthermore, this review also includes pharmacokinetic and toxicological studies of nerolidol. In summary, the various

pharmacological and biological activities demonstrated in this review highlight the prospects of nerolidol as a promising chemical or drug candidate in the field of agriculture and medicine.

Keywords: cis-nerolidol, trans-nerolidol, sesquiterpene, essential oil, pharmacological activities

1. Introduction Go to: ☑

Ever since ancient times, medicinal plants have been explored and used as herbal medicines to treat many diseases [1]. With the advancement of technology, research on herbal medicine has intensified on the efforts to identify the bioactive compounds in medicinal plants that are responsible for their pharmacological and biological activities. Essential oils (EOs) are volatile, natural and complex bioactive compounds which are characterized by a strong odour, and their biological effects are known to be associated to a series of complex interactions with cells, tissues and whole organisms [2]. Besides its well-known application in aromatherapy [3], the uses of EO have been extended into the food, agriculture and pharmaceutical industries [4,5,6]. Among the plants that are rich in EOs are *Baccharis dracunculifolia* DC, *Elettaria cardamomum* (L.) Maton, *Momordica charantia* L., *Piper aleyreanum* C. DC and *Piper claussenianum* (Miq.) C. DC [7,8,9,10,11].

Nerolidol (3,7,11-trimethyl-1,6,10-dodecatrien-3-ol), also known as peruviol, is a naturally occurring sesquiterpene alcohol present in the EO of various plants with a floral odour [12,13]. Nerolidol was found to exist as one of the bioactive compounds responsible for the biological activities demonstrated by the EOs of the aforementioned plants.

Statistics showed that the global usage of nerolidol *per annum* ranges from 10 to 100 metric tonnes [14]. For instance, nerolidol is frequently incorporated in cosmetics (e.g., shampoos and perfumes) and non-cosmetic products (e.g., detergents and cleansers) [13]. Besides, nerolidol is also widely used in the food industry as a flavor enhancer in many food products since its approval by U.S. Food and Drug Administration as a safe food flavoring agent.

Principally, this article aims to review the diverse range of pharmacological and biological activities of nerolidol which include antioxidant, anti-microbial, anti-biofilm, anti-parasitic, insecticidal, anti-ulcer, skin penetration enhancer, anti-tumor, anti-nociceptive and anti-inflammatory properties. The review also covers the chemical structure, physical properties, and the biosynthesis pathway of nerolidol as the intermediate involved in the mechanisms responsible for protection of plants against herbivores and plant pathogens. This article also highlights the pharmacokinetic and toxicological properties of nerolidol in both *in vitro* and *in vivo* experimental models.

Nerolidol has four different isomeric forms which consist of two enantiomers and two geometric isomers [15]. The existence of these isomeric forms is due to the presence of a double bond at the C-6 position and the asymmetric center at the C-3 position. These isomeric forms of *cis*- and *trans*-nerolidol are illustrated in <u>Figure 1</u>. Besides, the synonyms for *cis*- and *trans*-nerolidol are listed in <u>Table 1</u>.

Figure 1

Chemical structures of the two enantiomers both for cis- and trans-isomers of nerolidol.

Table 1
Synonyms of *cis*- and *trans*-nerolidol.

Cis-Nerolidol	Trans-Nerolidol	
(i) (±)-cis-nerolidol	(i) (±)-trans-nerolidol	
(ii) (6Z)-3,7,11-trimethyl-1,6,10-dodecatrien-3-ol	(ii) (6E)-3,7,11-trimethyl-1,6,10-dodecatrien-3-ol	
(iii) (6Z)-3,7,11-trimethyldodeca-1,6,10-trien-3-	(iii) (6E)-3,7,11-trimethyldodeca-1,6,10-trien-3-	
ol	ol	
(iv) (6Z)-nerolidol	(iv) (6E)-nerolidol	

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      (v) 1,6,10-dodecatrien-3-ol, 3,7,11-trimethyl-,
      (v) 1,6,10-dodecatrien-3-ol, 3,7,11-trimethyl-,

      (6Z)-
      (6E)-

      (vi) (Z)-nerolidol
      (vi) (E)-nerolidol
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Like other sesquiterpene compounds, nerolidol has high hydrophobicity, thereby allowing easier penetration across the plasma membrane and interaction with intracellular proteins and/or intra-organelle sites [16]. The physical properties of nerolidol (isomer not specified) have been described by Lapczynski *et al.* [13] as follows:

- (i) Physical description: A clear pale yellow to yellow liquid having a faint floral odor reminiscent of rose and apple.
- (ii) Chemical formula: C₁₅H₂₆O
- (iii) Flash point: >212° F; CC.
- (iv) Boiling point: 276 °C.
- (v) LogK_{ow} (calculated): 5.68.
- (vi) Vapor pressure (calculated): 0.1 mm Hg 20 °C.
- (vii) Specific gravity: 0.8744.
- (viii) Water solubility (calculated): 1.532 mg/L at 25 °C.

3. Sources, Extraction and Analytical Methods of Nerolidol



Numerous extraction methods have been employed for extracting EOs from various plant samples [2]. The hydrodistillation method using the Clevenger-type apparatus appears as the most common method used for extracting nerolidol. Table 2 summarizes the different extraction methods and the yield of nerolidol from various parts of plants such as leaves, flowers, seeds, fruits, resins, twigs and woods. Based on the literature references, leaves are the most common source for extraction of nerolidol. In terms of the percentage of nerolidol in the leaf EO among different plant species, *Piper claussenianum* (Miq.) C. DC. has the highest percentage of *trans*-nerolidol (81.4%), followed by *Zanthoxylum hyemale* A.St.-Hil. (51.0%), *Zornia brasiliensis* Vogel (48.0%) and *Swinglea glutinosa* (Blanco) Merr. (28.4%) (Table 2).

Table 2

Plant sources of nerolidol along with its percentage of nerolidol and extraction method.

Plant Part	Type of Nerolidol Found in the Essential Oil	Nerolidol Purified from the Essential Oil of the Respective Plants (%)	Extraction Method	Ref.
		(i) Warionia saharae ex Benth. & Coss. (23.0%) (ii) Scutellaria		
Aerial parts	trans- nerolidol	abida L. ssp. albida (9.03%) (iii) Piper aleyreanum C.	Hydrodistillation technique using the Clevenger- type apparatus	[10,26,27,28]
Key: n.s. = no	ot specified.			Open in a separate window

Microclimatic and environmental factors such as species, season, location, climate, soil type, age of the leaves and the extraction method may influence the concentration of each constituents in EOs [17]. Seasonal variation is one of the main factors that influences the composition of EOs in plants [18,19,20] including the concentration of nerolidol. It was reported by Marques and Kaplan [19] that the harvested leaves from *Piper claussenianum* (Miq.) C. DC. yielded variable amounts of nerolidol during the year of 2009. The content of *trans-nerolidol* was higher during the Brazilian spring collection period (September, October and November, 87.0%, 94.0%, 92.0%, respectively) as compared to that during autumn collection period (March, April and May, 78.0%; 77.0%; 80.0%, respectively). Another study conducted by de Sousa *et al.* [20] has shown that the mean concentration of *trans*-nerolidol in the leaves of *Baccharis dracunculifolia* DC. was five fold higher in March 2005 (136.53 mg/100 g of plant) than that in July 2004 (25.03 mg/100 g of plant). All these findings provide important information to identify and determine the most appropriate harvest period to obtain the highest yield of nerolidol from different plants.

Gas chromatography-mass spectrometry (GC-MS) is the analytical method that is most commonly used to detect nerolidol [21]. This is because the boiling points of sesquiterpenes

range from ~250 to 280 °C in which suitable for the gas-phase separation technique employed by GC-MS analysis [22,23]. Apart from GC-MS, liquid chromatography-mass spectrometry (LC-MS) method is also widely used due to its high sensitivity and high accuracy [24]. Recently, He *et al.* [25] suggested that LC-MS could be used for *in vivo* pharmacokinetic analysis of nerolidol due to its convenience and stability features. The study demonstrated that the lower limit lower quantification (LLOQ) of nerolidol using LC-MS was reported as 10 ng/mL [25]. On the other hand, another study reported the LLOQ of nerolidol as 3.5 ng/mL by using GC-MS [22]. These results suggest that GC-MS may be a more preferable detection method as it was shown to have higher sensitivity than LC-MS in detecting nerolidol.

In order to differentiatie the *cis*- and *trans*-isomers of nerolidol, the retention time of different LC-MS and GC-MS chromatography columns as well as the major peaks of the mass spectra (*m/z*) are the parameters used (<u>Table 3</u>). According to <u>Table 3</u>, *cis*-nerolidol displayed shorter retention times than *trans*-nerolidol regardless of the type of GC or LC column used. Besides retention time, one can also discriminate *cis*- from *trans*-nerolidol by referring to the retention indices (RIs) and RIs can be used for comparison across different chromatographic systems [<u>53</u>]. RIs, also known as Kováts retention indices, are frequently used along with mass spectrometry because the combination provides a more accurate identification of isomers, which is often difficult to be achieved by mass spectrometry alone [<u>54</u>]. The retention indices of different chromatographic columns of GC are shown in <u>Table 3</u>.

Table 3

Retention indices of different chromatographic columns of GC and major peaks of mass spectrometry to differentiate *cis*- and *trans*-nerolidol.

Types of Column/Equipment Used	Cis-Nerolidol	Trans-Nerolidol	Ref.	
(A) Retention time of different chromatographic columns of GC (minutes)				
(i) A-100 or 154-C column	14	16	[22]	
(ii) DB-5 capillary column	n.a.	10.5	[21]	
(iii) TR-5MS capillary column	5.87	5.98	[22]	
(B) Retention time of different chrom	natographic columns of LC	(minutes)		
(i) Hypersil BDS C18 column	11.9	13.1	[25]	
(C) Major peaks of mass spectrometr	ry (MS) (m/z)			
(i) M-80B gas chromatograph double	41, 69, 134, 91, 93, 79	69. 41. 93. 43. 71. 55	Γ55	

focusing mass spectrometer	, , , , ,	, , , , ,	
(ii) V2V ion tuon (MC) Delevis ()	93, 91, 67, 107, 79, 161,	93, 121, 67, 107, 79,	
(ii) Y2K ion trap (MS) PolarisQ System mass spectrometer	121, 133, 55, 147, 189,	161, 136, 55, 189, 148,	[<u>22</u>]
	175	175	

(D) Retention indices of different chromatographic columns of GC

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Key: (a) = average value; n.a. = not available.

4. Industrial Synthesis of Nerolidol

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Chemical synthesis of nerolidol is required to increase its production in order to meet the growing industrial demand for nerolidol. Initially, nerolidol was synthesized as an intermediate in the chemical synthesis of geranyl esters from linalool [59]. The process began with the treatment of linalool with diketene or ethyl acetoacetate by the Carroll reaction to yield a mixture of (E)- and (E)- and

Although nerolidol can be obtained via the aforementioned chemical reaction or isolation from natural sources, both methods suffer from the disadvantages that they are expensive and produce low yields of end products. In order to overcome these limitations, researchers have utilized eukaryotes such as yeast to produce higher yields of nerolidol. Therefore, a new method of nerolidol production has been developed and patented [61]. The method involved the cultivation of a yeast strain (particularly Saccharomyces cerevisiae, as it is a natural producer of farnesyl diphosphate (FDP)) lacking functional squalene synthase by modifying one ERG9 squalene synthase gene. This was because the absence of functional squalene synthase prevented the conversion of FDP to squalene, therefore causing FDP to accumulate. The next step involved modifying the yeast to overexpress 3-hydroxy-3-methylglutarylcoenzyme A (HMG CoA) reductase using an inducible promoter such as GAL1 HMG CoA reductase, leading to a higher throughput of FDP. The last step involved growing the yeast in a synthetic medium which is lacking of uracil so that FDP can be fully hydrolyzed into nerolidol. Further shifting towards nerolidol production can be also enhanced by adjusting the pH of the medium to be more acidic either at the start, during or at the end of the growth cycle.

Plant secondary metabolites (PSMs) are organic compounds that do not interfere with the primary metabolism of plants. Given that they mediate many ecological functions, PSMs are mainly secreted as plant defenses against herbivore and pathogen damages [62]. PSMs are stored either constitutively in inactive forms or induced in response to insect or microbe attack. To thwart off pathogens and herbivores, PSMs employ different chemical defensive strategies involving secondary metabolite pathways [62]. The first strategy consists of an indirect defense mechanism in which the plants confront herbivores indirectly by secreting herbivore-induced plant volatiles (HIPVs) to attract parasitoids and natural enemies of herbivores [63]. On the other hand, the direct defense mechanism employs another strategy, that is, toxic, volatile and non-volatile metabolites which are stored in specialized cells to be released or activated when plants are attacked by pathogens [64].

Among PSMs, terpenoids are the most structurally diverse group. For instance, monoterpenes and sesquiterpenes are the major volatile terpenoids released from plants [65]. Their function are diverse ranging from basic plant functions such as photosynthesis, respiration, growth and development, to playing role in plant defense mechanism to protect plants against herbivore and pathogen attacks [66].

In general, terpenoids are formed from the universal C5 precursor isopentenyl diphosphate (IPP) and its allylic isomer dimethylallyl diphosphate (DMAPP) [67]. Subsequently, condensation of IPP and DMAPP by prenyltransferases leads to the production of linear isoprenyl diphosphate precursors of many chain lengths such as geranyl diphosphate (GDP), FDP and geranylgeranyl diphosphate (GGDP). The allylic prenyldiphosphates of GDP, FDP and GGDP are then converted by terpene synthases (TPSs) to form monoterpenes (C_{10}), sesquiterpenes (C_{15}) and diterpenes (C_{20}), respectively [68].

With regard to the production of nerolidol, (E)-nerolidol synthase was recently found to be responsible for the conversion of FDP, the universal precursor of sesquiterpenes to (3S)-(E)-nerolidol. In snapdragon (Antirrhinum majus L.), Nagegowda et al. have recently purified two nerolidol/linalool synthases (AmNES/LIS-1/-2) that are responsible for the production of nerolidol and linalool. AmNES/LIS-1 is found in the cytosol and is responsible for nerolidol biosynthesis, whereas AmNES/LIS-2 is located in the plastids and is responsible for the formation of linalool [69]. Similar to snapdragon, (3S)-(E)-nerolidol synthase activities were demonstrated in maize [70]. Schnee et al. isolated the terpene synthase 1 (TPS1) enzyme, which is encoded by the maize TPS1 gene to produce both 3R- and 3S-enantiomer of (E)-nerolidol [71]. Due to the stimulation of herbivore damage, the expression of tps1 was increased by almost 8-fold, followed by the conversion of (E)-nerolidol to (3E)-4,8-dimethyl-1,3,7-nonatriene (DMNT). Taken all together, the conversion of (E)-nerolidol to DMNT is crucial due to the fact that DMNT acts as an herbivore-induced volatile to protect the plant against herbivore damage. The overall biosynthesis mechanism of nerolidol is illustrated in

6. Pharmacological and Biological Activities of Nerolidol

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With the knowledge that nerolidol plays a very active role in the defense system of some plants, researchers have been interested to further explore various aspects of its pharmacological and biological activities. To date, various pharmacological and biological activities of nerolidol have been reported such as anti-microbial, anti-biofilm, anti-oxidant, anti-parasitic, skin-penetration enhancer, skin-repellent, anti-nociceptive, anti-inflammatory and anti-cancer. Table 4 summarizes the important information on the pharmacological and biological activities of nerolidol in different *in vitro* and *in vivo* models. Besides the pharmacological and biological activities of nerolidol, the sources of nerolidol extraction from various parts of plants are illustrated as well (Figure 2).

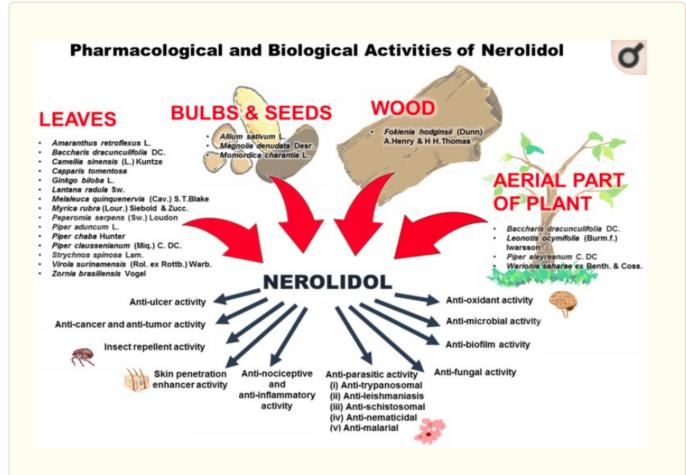


Figure 2

The source of extraction of nerolidol and an overview of the biological activities of nerolidol.

Bioactivity	Type of Nerolidol	Plant and Part of Plant Used (If Any)	Target Organism(s)	Screening Assay and Method Used
	cis-Nerolidol (Aldrich Chemical Co., Milwaukee, WI, USA)	-	-	DPPH and hydroxyl radical scavenging activity

6.1. Antioxidant Activity

Reactive oxygen species (ROS) are formed by the incomplete reduction of oxygen during aerobic metabolism [$\underline{110}$]. Superoxide anion (O_2^-), hydrogen peroxide (H_2O_2), and hydroxyl radicals (OH•) are some examples of ROS. Under normal circumstance or low level of oxidative stress, an in-built antioxidant defense system in the body helps the cells to counteract with any potential damages by detoxifying ROS with appropriate enzymes such as glutathione (GSH) reductase, GSH peroxidase, superoxide dismutase (SOD) and catalase. However, an imbalance in the antioxidant defense system or overproduction of free radicals which exceeds the detoxification capacity of cell may contribute to the onset of oxidative stress [$\underline{111}$]. During oxidative stress, an elevation of intracellular levels of ROS was found to cause damage on biomolecules (lipids, proteins and DNA) [$\underline{112}$]. Thus, high level of ROS is detrimental to cells. It is mainly due to the formation and accumulation of cellular damage

resulted from oxidative stress and subsequently leading to the loss of cellular functions. If left untreated, it will result in many complications such as cancer, cardiovascular diseases and neurodegenerative disorders [113].

Given the fact that chemical compounds belonging to the sesquiterpene group are well known for their antioxidant properties [113,114], perhaps antioxidant activity may be expected from nerolidol. Furthermore, EOs containing nerolidol derived from medicinal plants were found to exhibit antioxidant activity, suggesting its plausible utilization as an antioxidant agent. Indeed, it has been demonstrated that nerolidol exhibits potent antioxidant properties in counterbalancing the effect of ROS by protecting the cells against oxidative damage to lipids, proteins and DNA [73,74,75]. According to a study conducted by Vinholes et al., the antioxidant activity of cis-nerolidol was evaluated using 1,1-diphenyl-2-picrylhydrazine (DPPH) radical scavenging assay. The study revealed that cis-nerolidol exhibited DPPH scavenging activity [73]. In another study, cis-nerolidol was found to possess higher scavenging activity towards hydroxyl radicals with IC₅₀ measured at 1.48 mM [73]. Due to the ability in scavenging several types of free radicals, cis-nerolidol was evidenced to protect Caco-2 cells against oxidative stress induced by tert-butyl hydroperoxide (tert-BuOOH), suggesting that nerolidol is a good antioxidant that exerts protection against oxidative damage [73]. Another study conducted by Vinholes et al. reported that cis-nerolidol mediated its strong antioxidant activity in protecting the hepatocytes through the inhibition of lipid peroxidation induced by tert-BuOOH, thereby 1mM of cis-nerolidol resulted in $36.50\% \pm$ 4.47% of malonaldehyde (MDA) reduction [74].

Besides the *in vitro* evidences displaying the antioxidant properties of nerolidol, an *in vivo* study by Nogueira Neto *et al.* demonstrated the neuroprotective effects of nerolidol (a mixture of *cis*- and *trans*-nerolidol) in adult male *Swiss* albino mice hippocampus against neuronal damages induced by oxidative stress [75]. The study demonstrated that significant decrease in MDA and nitrite levels were observed for the nerolidol group at doses of 25, 50 and 75 mg/kg when compared to saline, the negative control. Beside that, nerolidol also increased the antioxidant enzymatic activities of superoxide dismutase and catalase at doses of 25, 50 and 75 mg/kg. These observations suggest that nerolidol mediates a potent antioxidant activity by scavenging free radicals, preventing lipid peroxidation and enhancing the production of antioxidant enzymes in cells for protection against oxidative stress [115,116].

6.2. Antibacterial Activity

The decrease in the effectiveness of many antibiotics due to the rise of antimicrobial resistance is a global concern faced by the pharmaceutical, medical and food industries. Consequently, the number of infections caused by multidrug-resistant bacteria is increasing globally, leading to increased risk of mortality and morbidity [117]. Due to this, a large proportion of investments by pharmaceutical industries are being put into drug discovery

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research of new inhibitory compounds of microbiological, plant, or animal origin to be developed into potentially new anti-microbial drugs.

The studies showed that nerolidol exhibited potent antimicrobial activity against Staphylococcus aureus FDA 209P, 14 strains of methicillin-susceptible S. aureus (MSSA) and 20 strains of methicillin-resistant S. aureus (MRSA) with MIC values ranging from 512 to over 1024 µg/mL [76]. Besides, nerolidol possessed antibacterial activity against various strains of Staphylococcus aureus including MRSA by disrupting the cell membranes as indicated by the increased leakage of K⁺ ions from the bacterial cells [76,77,78]. The observed effects could be due to the presence of the long aliphatic chain in chemical structure of nerolidol. The hypothesis may comply with the findings of Togashi et al. [118] that the terpene alcohols with carbon chains of C10 to C12 (the numbering is started from the carbon atom connected to a hydroxyl group) was found to exhibit a strong antibacterial activity against S. aureus FDA209P. Since the carbon chain length of nerolidol is C12, it was shown to cause damage to the cell membrane, leading to the leakage of macromolecules and eventually cell lysis [78]. Besides causing membrane disruption, nerolidol was also found to interfere with genes which regulate the pathogenicity of the pathogens. For example, a study conducted by Lee et al. reported that cis-nerolidol present in the black pepper oil was responsible for the down-regulation of the α-hemolysin gene hla expression in S. aureus via quantitative real-time PCR analyses [45].

In a similar way, EOs of *Momordica charantia* L. seed was found to exhibit strong antibacterial activity against *S. aureus* ATCC 6538 with MIC value of 125 μg/mL. The high content of *trans*-nerolidol was suggested to be responsible for the antibacterial activity demonstrated by the EOs of *Momordica charantia* L. seed [7]. Likewise, the study conducted on the antimicrobial activity of green tea flavor components by Kubo [79] has also shown that nerolidol as one of the ten major green tea flavor compounds, exhibited antimicrobial activity against *S. aureus* with MIC values of 200 μg/mL. Besides, nerolidol was also found to exert the strongest antibacterial activity against *Streptococcus mutans* when compared among the ten green tea flavor compounds with a MIC value of 25 μg/mL [79]. Meanwhile, nerolidol derived from the leaf EO of *Ginkgo biloba* (L.) demonstrated the highest antibacterial activity against *Salmonella enterica*, and *S. aureus* when compared to other EOs (isophytol, linalool, β-sitosterol acetate, β-sitosterol, stigmasterol, ergosterol, β-sitosterol-3-*O*-β-D-gluco-pyranoside and *Ginkgo biloba* polyprenols (GBP)) [31]. The evidences presented above may suggest nerolidol can be a good antibacterial agent particularly against *S. aureus*.

Besides the direct antibacterial action of nerolidol, nerolidol (*cis*-nerolidol and the racemic mixture of cis- and *trans*-isomers (1:1)) was found to potentiate the action of antibiotics, namely amoxicilline/clavulanic acid against *S. aureus* and amoxicilline/clavulanic acid, ceftadizine and imipenem against *Escherichia coli* [80]. The sensitization effect was also

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observed when nerolidol enhanced the susceptibility of *S. aureus* to ciprofloxacin, clindamycin, erythromycin, gentamicin, tetracycline, and vancomycin. Other than *S. aureus*, nerolidol was also found to enhance the susceptibility of *E. coli* ATCC 25922 to polymyxin B [81]. These findings were further supported by the experiment conducted by Simões *et al.* as it revealed that the treatment of nerolidol (racemic mixture of the *cis-* and *trans-*nerolidol) (1:1) potentiated the susceptibility of *E. coli* and *S. aureus* towards the antibiotics (ciprofloxacin, erythromycin, gentamicin and vancomycin), thereby resulted in significantly lower MIC concentrations [82]. Moreover, the study also demonstrated a moderate correlation between cell killing and permeabilization effects of nerolidol against both *S. aureus* and *E. coli*, suggesting that nerolidol exerted its action by modifying the bacterial outer layer as evidenced by the increased propidium iodide uptake [82]. All these observations suggest that nerolidol provides an alternative therapeutic option for the development of drug combinations that may be more effective in controlling multi-drug resistant bacteria.

6.3. Anti-Biofilm Activity

Many bacteria are known to possess the ability to produce biofilm, which is defined as a community of microorganisms held together by a self-produced extracellular matrix and attached to living or inert surfaces such as polystyrene, glass, stainless steel and blood components in different environments [119]. Due to the complexity of the biofilm structure formation, microbial biofilms represent a significant challenge to the medical and pharmaceutical industries. The formation of biofilm induces microbial resistance to antimicrobial agents as well as to the body's immune system. It has also been associated with the increased antibiotic resistance, thereby leading to biofilm-associated infections which complicate the treatment procedure. Therefore, there is a new trend in recent studies focusing on the evaluation of essential oils as potential inhibitors of biofilm formation. In the meantime, nerolidol was found to exhibit anti-biofilm activity against a number of pathogens. For example, a study conducted by Lee et al. has revealed that the EO of Cananga odorata (Lam.) Hook.f. & Thomson exhibited strong biofilm activity in a dose-dependent manner against the biofilm formation of S. aureus ATCC 6538 [45]. The anti-biofilm activity was attributed to the presence of cis- and trans-nerolidol in the essential oil of C. odorata. It was demonstrated that the cis-nerolidol at 0.01% (v/v) inhibited S. aureus biofilm formation by more than 80%, whereas trans-nerolidol at similar concentration exerted 45% inhibition. Another study conducted by Curvelo et al. revealed that the EO of Piper clausseniamum (Miq.) C. DC., Piperaceae leaf (trans-nerolidol identified as the main component (81.4%) in this EO) decreases the formation of biofilm by Candida albicans for 30% and 50% after 24 and 48 incubation hours, respectively [32]. The same study also compared the anti-biofilm activities of the cis,trans-nerolidol and cis-nerolidol on the pre-formed biofilm by C. albicans. The study indicated that cis, trans-nerolidol resulted a stronger reduction in the

viability of the mature biofilm than that of *cis*-nerolidol. Thus, it was suggested that *trans*-nerolidol, which was the main constituent in EO of Piper claussenianum (Miq.) C. DC., Piperaceae leaf, may responsible for the observed anti-biofilm activity in reducing the viability of the pre-formed biofilms.

6.4. Anti-Fungal Activity

Various anti-fungal agents have been developed to control the spread of fungal diseases such as candidiasis [120]. However, there are serious questions concerning the safety of these drugs due to their well-known side-effects as well as the possible development of antifungal drug resistance [121]. Therefore, the attention has been shifted to bio-prospecting the natural products to overcome or control fungal infections. In fact, EOs have been extensively studied and have been proven to be effective against fungal infections [122].

There are many evidences that support the effectiveness of nerolidol in exhibiting anti-fungal activity. *Trans*-nerolidol, which is a major component of leaf EO of *Piper claussenianum* (Miq.) C. DC., Piperaceae (81.4%), has been shown to exhibit fungicidal activity against *Candida albicans* with MIC values measured ranging from 0.24%–1.26% [32]. Similarly, the leaf EO also exerted a strong activity in the inhibition of germ-tube transformation of *Candida albicans* by 81% [32]. In another study, nerolidol has also been found to possess strong antifungal activity by distorting the hyphal growth of *Trichophyton mentagrophytes* at the concentration of 0.4 mg/mL [16]. Also, the growth of *T. mentagrophytes* was inhibited by nerolidol derived from green tea flavor with MIC value measured at 12.5 µg/mL [79].

Lee *et al.* reported a strong anti-fungal effect of nerolidol against *Microsporum gypseum* that causes dermatophytosis, a superficial infection in keratinized tissues including hair, nail and stratum corneum of skin [83]. Although nerolidol (0.5%–2%) was found to exhibit lower antifungal activity as compared to eugenol (0.01%–0.03%), the study showed that nerolidol was more effective in reducing the skin lesion than eugenol in guinea pig model. Moreover, histopathologic analysis revealed that animals treated with nerolidol had a lower degree of hyperkeratosis and inflammatory cell infiltration than non-treated animals.

Besides its anti-fungal effect against human pathogens, nerolidol also shows promising outcomes in controlling fungal infections in plants caused by phytopathogenic fungi. *Trans*-nerolidol, extracted from EO of *Lantana radula* Sw., has demonstrated to exhibit stronger fungistatic activity against the the phytopathogenic fungi *Corynespora cassiicola* than the EO extracted from *Lantana camara* [33]. Similarly, the leaf EO of *Piper chaba* Hunter which contained the *trans*-nerolidol as one of the major constituents, exhibited antifungal activity against phytopathogenic fungi such as *Fusarium oxysporum*, *Phytophthora capsici*, *Colletotrichum capsici*, *Fusarium solani* and *Rhizoctonia solani* with 55.1 to 70.3% growth inhibition and a MIC ranging from 125 and 500 μg/mL [34].

EOs of aerial parts of *Warionia saharae* ex Benth. & Coss. against the three apple phytopathogenic fungi, *Alternaria* sp., *Penicillium expansum* and *Rhizopus stolonifer* causing the deterioration of apple by significantly inhibiting the mycelial growth of all strains tested. It was also found to inhibit the fungal spore production of *Alternaria* sp., *P. expansum* and *R. stolonifera* at the dosage of 1, 2 and 2 μL/mL air, respectively [26]. Besides this result, another study conducted by Pontin *et al.* has revealed strong antifungal activity of nerolidol in inhibiting mycelial growth and sclerotial production by ~85% and ~ 84%, respectively [84]. Nerolidol was also found to cause alterations in hyphal morphology and membrane permeability as demonstrated by hyphal shrinkage and partial distortion [84]. In addition, the study revealed an increase in the level of nerolidol in garlic (*Allium sativum* L.) tissues in response to fungal attack by *Sclerotium cepivorum* [84]. Based on the number of studies reported on the anti-fungal activity of *trans*-nerolidol, it could be suggested that *trans*-nerolidol is a good candidate for the development of anti-fungal drugs.

6.5. Anti-Parasitic Activity

Parasitic diseases such as malaria, leishmaniasis, sleeping sickness and Chagas' disease continue to affect hundreds of millions of people around the world with a majority of them living in tropical regions [123]. However, most of them live in countries where the prospects of any financial return on investment are too low to support market-driven drug discovery and development of new drugs on parasitic diseases. Moreover, the emergence of parasites resistant to current anti-parasitic drugs thwarts the effort in treating the parasitic diseases. All these challenges underline the importance of plant EO as potential novel anti-parasitic agents [89,124].

6.5.1. Anti-Leishmaniasis Leishmaniasis is a vector-borne infection caused by protozoan parasites from the genus of *Leishmania*. Leishmaniasis affects approximately 350 million people in 88 tropical and subtropical countries. The clinical syndromes and manifestations of leishmaniasis vary widely but are often divided into the three clinically distinct syndromes, the visceral leishmaniasis, cutaneous leishmaniasis (CL), and mucosal leishmaniasis (ML), depending on the parasite species and the host's immune response [125]. CL has affected mankind for centuries, mainly affecting the skin or mucous membranes and is distinguished by the presence of ulcerative skin lesions. On the other hand, VL is fatal if left untreated and the cutaneous forms are disfiguring and mutilating. Although pentavalent antimonials are still widely used to treat leishmaniasis, they are toxic, poorly tolerated and become increasingly ineffective to cure drug-resistant parasites [126]. Therefore, the search of alternative drugs continues.

Recently, *trans*-nerolidol purified from the leaf EO of *Baccharis dracunculifolia* DC has been found to mediate strong anti-leishmanial activity against promastigotes of *Leishmania* (*L.*) *donovani* with an IC₅₀ and IC₉₀ values of 42 and 85 µg/mL, respectively [8]. Besides this

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study, nerolidol also exhibited anti-leishmaniasis activity by inhibiting the growth of L. amazonensis, L. braziliensis, and L. chagasi promastigotes and L. amazonensis amastigotes with in vitro IC₅₀ of 85, 74, 75, and 67 μM, respectively. Moreover, L. amazonensis-infected macrophages treated with 100 µM nerolidol resulted in 95% reduction in the rate of infection. Arruda et al. suggested that nerolidol at 30 µM mediated anti-leishmaniasis activity through the inhibition of isoprenoid biosynthesis in L. amazonensis, as demonstrated by the reduced incorporation of [2-14C] mevalonic acid or [1-14C] acetic acid precursors into dolichol, ergosterol and ubiquinone in the mevalonate pathway [86]. However, nerolidol did not reduce the incorporation of $[1(n)^{-3}H]$ farnesyl pyrophosphate into dolichol and ergosterol, suggesting that nerolidol could be an inhibitor at the early step in the mevalonate pathway [86]. Previously, the inhibition of isoprenoid biosynthesis pathway was shown to result in the arrest of development of *Plasmodium falciparum* during the intraerythrocytic stages [127]. Marques et al. have also observed similar growth inhibition of promastigotes of L. amazonensis after being treated with trans-nerolidol purified from the leaves of Piper clausseniamum (Miq.) C. DC., Piperaceae [9]. Trans-nerolidol was also found to induce (1) a significant inhibition (62.17%) on the arginase activity of L. amazonensis and (2) an increase in the production of nitric oxide (NO) in L. amazonensis-infected macrophages. These results indicated that trans-nerolidol was able to interfere with parasite-host cell interaction, thus reducing the percentage of infected cells. Another study conducted by Camargos et al. have shown that through electron paramagnetic resonance (EPR) spectroscopy, nerolidol was able to increase the molecular dynamics of the lipid component in the *Leishmania* plasma membrane at IC₅₀ of 0.008 μ M [87]. This could be possibly due to the insertion of nerolidol into the lipid bilayer that act as spacers to increase the fluidity of membranes since nerolidol has high hydrophobicity, thus causing major reorganization in cell membranes [128]. Subsequently, this will lead to an increase in the overall molecular dynamics of the membrane, causing leakage of cytoplasmic content and eventually the death of Leishmania cells.

6.5.2. Anti-Trypanosomal Activity Trypanosomiasis, also known as sleeping sickness, is caused by protozoan parasites of African trypanosomes (e.g., *Trypanosoma brucei* subspecies) and is fatal if left untreated. Its symptoms include swollen lymph nodes, fever, extreme fatigue and rash. *Trans*-nerolidol purified from the aerial part of *Leonotis ocymifolia* (Burm.f.) Iwarsson and leaves of *Strychnos spinosa* Lam. showed anti-trypanosomal activity with IC₅₀ of 15.78 μg/mL and 1.7 μg/mL, respectively on bloodstream forms of *T. brucei brucei* [27,35]. Mohd-Shukri *et al.* conducted an in-depth study about the effects of nerolidol (containing the mixture of ±40% *cis*-nerolidol and ±55% of *trans*-nerolidol) compared to a positive control, berenil (a standard anti-trypanosomal drug) on the morphological changes of a protozoan parasite *Trypanosoma evansi* in mice by using light and electron microscopy [85]. Berenil elicited immediate adverse morphological changes after 2–3 h post-treatment as demonstrated by stiffening and tapering at both ends of the parasite as well as distorted flagella and loss of

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undulating membranes. On the other hand, nerolidol only induced adverse morphological changes beginning from 23rd to 25th day post-treatment when the parasites became stiff, lost their undulating membrane. At the 27th day post-treatment, total disfigurement was observed, indicating that nerolidol exhibited promising trypanosomatidal activity against the morphology of *T. evansi* in mice.

6.5.3. Anti-Schistosomal Activity Schistosomiasis is caused by a trematode blood fluke of the genus Schistosoma and is one of the most significantly neglected tropical diseases in the world [129]. Schistosome transmission involves the contamination of water by faeces or urine containing eggs with a specific freshwater snail as intermediate host, followed by human contact with water inhabited by the freshwater snail [130]. Its acute symptoms include fever, urticaria, diarrhea and eosinophilia. However, schistosomiasis, if left untreated, can progress to its chronic stage, leading to inflammatory and obstructive disease in the urinary system (S. haematobium) or intestinal disease, hepatosplenic inflammation, and liver fibrosis [130]. According to a study by Parreira et al., the EO of Baccharis dracunculifolia DC. (Asteraceae) possessed high schistosomicidal activity since all pairs of Schistosoma mansoni adult worms were dead after 24 h incubation with the EO at concentrations of 10, 50, and 100 μg/mL [8]. However, trans-nerolidol did not display any significant schistosomicidal activity in the tested assays with the concentration ranging from 10 to 100 µM. In contrast, another experiment conducted by Silva et al. have revealed that nerolidol in the form of cisand trans-nerolidol racemic mixture (1:1) exerted anti-schistosomal activity by reducing worm motor activity and causing the death of all male and female schistosomes of Schistosoma mansoni at concentrations of 31.2 and 62.5 µM, respectively [88]. The differences between these two results could be due to the fact that trans-nerolidol isomer is less active than the racemic mixture of cis- and trans-nerolidol [88]. The study also found that nerolidol induced (1) severe tegumental damage in adult schistosomes and (2) alterations on the tubercles of male parasites in a concentration-dependent manner. With the available findings, a mixture of cis- and trans-nerolidol was shown to be a promising candidate to treat schistosomiasis.

6.5.4. Anti-Malarial Activity Malaria is an infection caused by the protozoan parasites belonging to the genus of *Plasmodium* and is transmitted via the bite of *Anopheles* mosquito [131]. Its symptoms are fever, headache, vomiting, sweating and fatigue. If left untreated, it can cause organ failure, abnormal blood coagulation and ultimately death. According to a study conducted by Lopes *et al.*, nerolidol was found to exhibit strong anti-malarial activity since treatment of *Plasmodium falciparum* with 100 mg/mL of nerolidol extracted from the leaf EO of *Virola surinamensis* (Rol. ex Rottb.) Warb. for 48 h resulted in 100% of the inhibition on the development of young trophozoite to the schizont stage without pigment formation [29]. Similarly, nerolidol (23.7%), which is one of the major volatile components extracted from inflorescences oil of *Piper claussenianum* (Miq.) C. DC., has been

demonstrated to exert anti-maiamai activity with $1_{0.50}$ of 11.1 µg/mlL whereas the crude of of P. claussenianum showed IC₅₀ of 7.9 μg/mL [89]. The study suggested that nerolidol may exert the inhibition of glycoprotein biosynthesis by repressing the biosynthesis of N-glycoproteins that are otherwise observable in P. falciparum mainly at the ring and young trophozoite stages of the intra-erythrocytic cycles [29]. Besides this mechanism of action, another study conducted by Rodrigues Goulart et al. has shown that the nerolidol inhibited the biosynthesis of the isoprenoid chain attached to the benzoquinone ring in the intraethryocytic stages of Plasmodium falciparum [90]. It was evidenced that nerolidol interfered with isoprenoid biosynthesis of apicoplast by disrupting the elongation of isoprenic chains via inhibition of isoprenyl diphosphate synthases, an enzyme that is responsible for the formation of isoprenoid compounds such as dolichols. Beside isoprenyl diphosphate synthase, nerolidol also inhibited the enzyme octaprenyl phosphate/phytoene synthase which is localized in the cytoplasm and also in mitochondria at the intra-erythrocytic stages of P. falciparum [132]. Moreover, treatment with nerolidol at doses 2.2 times below the IC₅₀ of 0.12 μM was shown to inhibit the production of isoprenic chain attached to coenzyme Q at all intraerythrocytic stages of P. falciparum [91]. These findings indicated that nerolidol possesses strong anti-malarial activity by inhibiting the development of the intraerythrocytic stages of the parasites.

Besides displaying anti-malarial activity alone, nerolidol has also been found to exhibit a synergistic effect with either fosmidomycin or squalestatin against malarial parasites. The combination of nerolidol with either fosmidomycin or squalestatin resulted in strong supra-additive (the sum of the fractions of IC₅₀ of <1) interaction in mediating inhibition of plasmodial isoprenoid pathway against *P. falciparum* with strong combinatorial IC₅₀ of 0.57 and 0.54 μ M respectively [92].

6.5.5. Other Anti-Parasitic Activities Nerolidol demonstrated strong nematicidal activity against a nematode, Caenorhabditis elegans with its LC₅₀ value of 12 μg/mL as well as 74.0% mortality at 50 μg/mL [94]. Besides nematicidal activity, nerolidol (a mixture of cisand trans-nerolidol) inhibited the in vitro growth of four Babesia species with IC₅₀ values of 21 ± 1, 29.6 ± 3, 26.9 ± 2, and 23.1 ± 1 μM for B. bovis, B. bigemina, B. ovata, and B. caballi, respectively. This anti-parasitic activity could be due to inhibition of the isoprenoid pathway by nerolidol by a similar mechanism similar to that found with Plasmodium falciparum [93]. Nerolidol was also found to be the most active compound among the tested sesquiterpenes (nerolidol, farnesol and elemol) that caused the death of nematodes, L₃ larvae of Anisakis simplex type I with the mortality at 4 hours of 100% at the concentrations of 31.5 and 62.5 μg/mL [95]. Moreover, only 20% of nerolidol-treated rats were affected by gastric wall lesions caused by Anisakis larvae in comparison to 86% of the control rats [95].

6.6. Insect Repellent Activity

to the increasing difficulty in the management of pesticide resistance [133]. For this reason, the researchers have focused on research of EOs that have been traditionally used as repellants. Studies in several countries have shown that certain plant EOs are effective not only in repelling insects, but have contact and furnigant insecticidal activity against specific pests without harmful side-effects to humans and animals [134,135].

The combination of nerolidol and linalool (that are purified from EO of *Capparis tomentosa* fresh leaves) showed significant repellence activity against maize weevil *Sitophilus zeamais* at all tested doses (0.002, 0.02, 0.2 and 2 μ L) [30]. In another study, *trans*-nerolidol derived from the EO of Siam-wood (*Fokienia hodginsii* (Dunn) A.Henry & H H.Thomas) was shown to possess insecticidal activity with LD₅₀ value at 0.17 μ mol/fly [51].

A mixture of nerolidol and tea tree oil with a ratio of 2:1 (tea tree oil 0.5% plus nerolidol 1%) was shown to exert insecticidal and ovicidal activity against *Pediculus capitis* (head lice) and its eggs [96]. Besides, nerolidol purified from the seeds of *Magnolia denudata* Desr. also showed larvacidal activity against third-instar larvae of insecticide-susceptible *Culex pipiens pallens* and *Aedes aegypti* as well as the wild *Aedes albopictus* and *Anopheles sinensis* with lethal dose (LD)₅₀ values of 9.84, 13.85, 16.34 and 20.84 mg/L respectively [47]. Similarly, *trans*-nerolidol, which is one of the components of EO from the leaves of *Melaleuca quinquenervia* (Cav.) S.T.Blake, at its concentration of 0.1 mg/mL exhibited strong larvicidal activity with \geq 95% mortality against *Aedes aegypti* [36].

Meanwhile, the *trans*-nerolidol that was purified from the leaves of *Piper aduncum* L. possessed strong acaricidal activity due to its highest repellency of $83.2\% \pm 0.59\%$ compared to α -humulene ($73.3\% \pm 0.83\%$) and β -caryophyllene ($70.7\% \pm 0.88\%$) against the two-spotted spider mite, *Tetranychus urticae* Koch that causes damage to many agricultural crops [37]. The EO of aerial parts of *Baccharis dracunculifolia* DC. containing nerolidol as one of the major components was discovered to demonstrate strong acaricidal activity by causing 100% mortality of *Rhipicephalus microphus* larvae (cattle tick that infests cattle) at 20.0 mg/mL [97]. Meanwhile, a 100% mortality of *Rhipicephalus microphus* larvae was achieved at a lower concentration of nerolidol (15.0mg/mL). The study also demonstrated that nerolidol reduced the quality of the egg and larval hatching rate with increasing concentration from 20 to 50mg/mL [97].

6.7. Anti-Ulcer Activity

Gastric ulcer affects thousands of people around the world and is known to be caused by an imbalance between aggressive (acid, pepsin) and protective factor (secretion and action of mucus and bicarbonate) in the stomach [98]. It is induced by several factors, such as stress, smoking, nutritional deficiencies and ingestion of non-steroidal anti-inflammatory drugs (NSAIDs). The current therapy for ulcers usually involves the use of histamine H₂-

antagonists, proton pump inhibitors and anti-muscarinics for the inhibition of gastric acid secretion. However, these drugs pose severe side-effects, particularly hypersensitivity, arrhythmia and impotence [136]. With this in mind, plant EOs have recently been exploited as they have been shown to produce promising results for alternative therapies to treat gastric ulcers with lesser side-effects.

A study has been conducted by Klopell *et al.* on the anti-ulcer property of nerolidol using different experimental models such as ethanol-, indomethacin- and stress-induced ulceration in rat [98]. In the stress-induced ulceration model of experiment, nerolidol treatment at 50, 250 and 500 mg/k caused a significant reduction in the ulcerative lesion index (ULI) by 41.22, 51.31 and 56.57, respectively when compared to the control group animals. With regard to the ethanol-induced ulceration model of experiment, treatment with nerolidol at 250 and 500 mg/kg significantly inhibited the formation of ulcer at 52.63% and 87.63%, respectively as compared to the control group. On the other hand, indomethacin-induced ulceration model of experiment, the treatment at 250 and 500 mg/kg of nerolidol had significantly inhibited the gastric ulcer for 51.02% and 46.93%. These findings indicate that nerolidol could be used as an active component in gastroprotective and anti-ulcer treatments.

6.8. Skin Penetration Enhancer Activity

Transdermal delivery has gained a lot of attention as an attractive alternative route to intravenous and oral drug delivery systems [137]. However, the application of transdermal delivery is limited by poor drug permeability as the stratum corneum plays as a rate-limiting lipophilic barrier against the uptake of chemical and biological agents [138]. Therefore, terpenes are often used as topical skin penetration enhancers due to their wide range of physicochemical properties such as low cutaneous irritancy and good toxicological profile as well as adsorption enhancement ability [139].

Nerolidol has been found to be a potent skin penetration enhancer. It was found to increase the diffusion rate by over 20-fold for transdermal delivery of several drugs especially on 5-fluorouracil [99]. This high permeation-enhancing ability was attributed to the structure of nerolidol which is suitable for the alignment within lipid lamellae of the stratum corneum in order to disrupt the organization of stratum corneum. This view has been further supported by Prasanthi and Lakshmi [100] who reported that nerolidol with highest lipophilicity (log $P = 5.36 \pm 0.38$) have the highest enhancement effect with its rank of order of nerolidol > farnesol > limonene > linalool > geraniol > carvone > fenchone > menthol in facilitating transdermal delivery of alfuzosin hydrochloride. Similarly, nerolidol has the highest permeation enhancing ability with a 3.2-fold increase in permeation of selegiline hydrochloride across the rat skin, followed by carvone (2.8-fold increase) and anethole (2.6-fold increase) [101]. Another study conducted by El-Kattan *et al.* has shown that nerolidol was the most effective percutaneous permeation enhancer for four model drugs (nicardipine hydrochloride hydrochloride across the rate of the permeation enhancer for four model drugs (nicardipine hydrochloride hydrochloride across the rate of the permeation enhancer for four model drugs (nicardipine hydrochloride hydrochloride across the rate of the permeation enhancer for four model drugs (nicardipine hydrochloride hydrochloride).

nyurocinoriue, nyurocorusone, caroamazepine, anu tamoznen) wnen compareu to omer terpenes (fenchone, thymol, nerolidol and D-limonene) [102].

6.9. Anti-Nociceptive and Anti-Inflammatory Activity

Pain is an unpleasant sensation and emotional experience that is associated with actual or potential tissue damage [140]. On the other hand, the stimulation of nociception is associated with the detection of real tissue injury or a potentially damaging event by nociceptors as a stimuli (transduction) followed by its transmission of encoded information to the brain [141]. Under normal circumstances, the presence of an injury activates the inflammatory response as follows: firstly the inflammatory mediators are released from damaged cells such as ions (K⁺, H⁺), bradykinin, histamine, 5-hydroxytryptamine (5-HT), ATP and nitric oxide. Subsequent activation of arachidonic acid pathway leads to the production of prostanoids and leukotrienes that would then lead to the release of more inflammatory mediators such as cytokines and growth factors. These mediators will ultimately activate peripheral nociceptors directly, resulting in spontaneous pain. Beside this action, they also act to convert responses of primary afferent neurons to subsequent stimuli (peripheral sensitization) to be transmitted to the brain. Due to exacerbated physiological response, chronic exposure to pain is very harmful to an individual as it can cause organ damage and ultimately death, if left untreated [142].

Non-steroid anti-inflammatory drugs (NSAIDs) are well-known analgesic drugs that act to reduce inflammation and pain by acting as an inhibitor of cyclooxygenases (COXs) in the arachidonic acid pathway. However, one major disadvantage of administration of NSAIDs is their serious side-effects such as significant gastrointestinal upset, gastritis, ulceration, hemorrhage, and even death [143]. In order to address this issue, EOs extracted from various medicinal plants have been increasingly explored as alternative traditional medicines to treat inflammation and pain without posing harmful side-effects.

Pinheiro et al. investigated the anti-nociceptive and anti-inflammatory effects of EO of Peperomia serpens (Sw.) Loudon in rodents [38]. The EO has been found to possess anti-inflammatory and anti-nociceptive activities which could possibly be mediated by one of its major compounds, trans-nerolidol (38.0%). In a similar experiment, Lima et al. reported anti-nociceptive and anti-inflammatory activities of the EO of the aerial parts of Piper aleyreanum C. DC which is attributable to the presence of trans-nerolidol (1.2%) [10]. In order to strengthen the findings, Fonsêca et al. investigated the anti-nociceptive and anti-inflammatory activities of nerolidol using mouse models of pain [103]. The study found that nerolidol has no effect on the locomotor activity. Meanwhile, anti-nociceptive activity was evaluated via acetic acid-induced writhing and the formalin tests. The results demonstrated that oral administration of nerolidol was able to cause lesser acetic acid-induced abdominal contractions and also inhibition in paw licking behavior in the respective tests when compared to the control group (Table 4). These results implied that nerolidol modulates its effect on

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neuropathic pain and inflammatory processes as demonstrated by the formalin test [103]. However, the anti-nociceptive effect of nerolidol did not involve the thermal stimulation of centrally mediated nociception as shown by the negative hot-plate test result. In addition, the researchers also further elucidated the possible anti-nociceptive mechanisms of nerolidol by examining its effects on the parameters GABAergic system, opioidergic and ATP-sensitive K+ channels. The results have shown a positive association of nerolidol with the GABAergic system but not with opioidergic or ATP-sensitive K+ channels, implying that the anti-nociceptive activity of nerolidol is mediated through GABAA receptors [103]. In order to evaluate the anti-inflammatory activity of nerolidol, carrageenan-induced paw edema was used as a model of inflammation. It was found that nerolidol exhibited inhibitory effect on inflammation. Further investigation of carrageenan-induced peritonitis model revealed that nerolidol decreased the levels of polymorphonuclear cells and tumor necrosis factor (TNF- α) in peritoneal lavage as well as interleukin 1 beta (IL-1b) in LPS-stimulated, peritoneal macrophages (Table 4) [103]. Taken these results together, nerolidol has been shown to demonstrate promising analgesic and anti-inflammatory activities.

6.10. Anti-Cancer and Anti-Tumor Activity

Cancer is one of the most alarming causes of death, with an estimated over six million deaths have been reported around the world annually. It is a multifactorial disease that leads to uncontrolled growth and invasion of abnormal cells, ultimately leading to the formation of tumor. Chemotherapy, radiosurgery and surgery are some of the effective treatments against various type of tumors. Despite that, these treatments still pose many side-effects that lead to acute and chronic organ damage such as bone marrow suppression, hepatic, pulmonary, cardiac, renal and gastrointestinal toxicities [144,145]. Moreover, the development of drug resistance in tumors have also been reported during the courses of chemotherapy. This may be due to the occurrence of mutations in the tumor cells that negates the apoptotic pathway during cancer treatment [146]. These drawbacks of chemotherapy treatment have urged researchers to find alternative treatments without harming the growth of normal cells and triggering anti-tumor drug resistance. Among the alternative approaches, plant phytochemicals have been recently explored for their possible beneficial (anti-proliferative and cytotoxic) effects on cancer cells *in vitro* or *in vivo* models [147,148].

6.10.1. *In Vitro* Studies Several studies have shown anti-tumor properties of nerolidol on cancer cell lines. A study conducted by Ryabchenko *et al.* has demonstrated strong anti-tumor effects of nerolidol (a combination of *cis*-nerolidol 40.7%, *trans*-nerolidol 58.3%, *cis*-dihydronerolidol 0.4% and *trans*-dihydronerolidol). It was found to reduce the viability of HeLa cells at its concentration (CC₅₀) of less than 5 μ M (1.5 \pm 0.7 μ M) [104]. Beside this study, nerolidol (isomer not specified), which is one of the ten major compounds found in the green tea flavor, exhibited strong cytotoxicity effect with an IC₅₀ value of 2.96 and 3.02

un/mL against RT 20 broast carainama calls and HaLa calls indicating that naralidal

µg/IIID against D 1-20 ofcast carenionia cens and field cens, indicating that herondor potentially exhibit strong anti-cancer activity against the two tumor cell lines [106]. This has been further supported by the fact that trans-nerolidol, which was purified from the leaf EO of Zornia brasiliensis Vogel, had a strong cytotoxicity activity against cancer cell lines such as B16-F10 (mouse melanoma), HepG2 (human hepatocellular carcinoma), HL-60 (human promyelocytic leukemia) and K562 (human chronic myelocytic leukemia) with IC50 values of >25, >25, 21.99 and 17.58 μg/mL, respectively using Alamar blue assay [39]. The study also reported no cytotoxicity effect of trans-nerolidol on non-tumor cells, particularly the peripheral blood mononuclear cells (PBMCs) [39]. Another study conducted by Boris et al. have shown that cis-nerolidol exhibited the strongest cytotoxic activity (16.5 \pm 6.7 μ M) against HeLa cells among other sesquiterpene alcohols such as α-bisabolol, cedrol, patchoulol, and santalol [105]. Beside green tea, trans-nerolidol extracted from leaf EO of Comptonia peregrina (L.) Coult. has been found to induce strong cytotoxic effect against human lung carcinoma A-549 and colon adenocarcinoma DLD-1 cell lines with IC₅₀ values of 6.4 ± 0.4 and 5.8 ± 0.4 µg/mL, respectively [149]. Another anti-proliferative study conducted by Ambrož et al. has shown that trans-nerolidol potentiated the action of doxorubicin, an anticancer drug, by increasing killing of CaCo-2 cancer cells [40]. The study also reported no cytotoxicity effect of trans-nerolidol on rat hepatocytes that serve as nontumor cells [145]. Based on the literature, it can be suggested nerolidol is a good candidate for the development of anticancer agent that selectively targets specific cancerous cells with no cytotoxicity towards PBMCs and rat hepatocytes.

When examining the cytotoxic effect of nerolidol with regard to the apoptotic pathway, the combination of two acylic isoprenoids, farnesol and nerolidol was found to suppress the proliferation of human HL-60 acute promyelocytic leukemia (HL-60) cells by 20%, which was slightly synergistic (slightly exceeding the 13% suppression obtained from the sum of both compounds); farnesol isomers (2.5 µmol/L) and nerolidol (5 µmol/L) individually suppressed the proliferation of HL-60 cells by 4% and 9%, respectively [108]. The mechanism of action involved prolonging the cell cycle arrest of HL-60 cells at the G₀-G₁/S interphase and lead to apoptotic cell death. Another study conducted by Hanušová et al. focused on the anti-proliferative effect of EO from leaves of Myrica rubra (Lour.) Siebold & Zucc. (MEO) and its major compound, trans-nerolidol on the adhesion, expression of adhesion molecules (ICAM-1; E-cadherin; β-catenin and apoptotic molecules (NF-κB, caspases) in colorectal cancer cell line HT29 [147]. The study showed that only the MEO reduced the cell adhesion to collagen. Meanwhile, both MEO and trans-nerolidol (30 µg/mL) significantly suppressed cell adhesion of HT29 cells in the presence of TNFα and it was suggested due to the down-regulation of ICAM-1 [109]. Besides, trans-nerolidol (30 μg/mL) also significantly increased the expression of E-cadherin [109], a cell adhesion molecule that mediates the suppression of epithelial cell tumor invasiveness [150]. MEO and trans-nerolidol were also found to decrease the phosphorylation of NF-κB and activate caspases activity in TNFa-induced HT20 thereby leading to anontosis of cancer cells [100]

6.10.2. *In Vivo* Studies In animal studies, nerolidol has been found to possess strong antitumor activity by inhibiting the intestinal carcinogenesis induced by azoxymethane (15 mg/kg body weight) administered twice per week for a duration of three weeks in male F344 rats [107]. The result showed the reduction of incidence of intestinal neoplasia from 82% to 33% in rats fed with nerolidol. Moreover, the number of tumors/rat was reduced from 1.5 to 0.7 in rats fed with nerolidol. The improvement of intestinal carcinogenesis was possibly due to the modulatory effect nerolidol on protein prenylation, a post-translational process that is required to cause cancer [151]. Another study conducted by Costa *et al.* has demonstrated that the EO of *Zornia brasiliensis* Vogel leaf at dose of 100 mg/kg containing *trans*-nerolidol as major constituent reduced the weight of tumor in mice injected with B16-F10 melanoma by 38.61% when compared to the untreated group [39].

7. Pharmacokinetic Studies



Although there is an increasing popularity of herbal medicines and essential oils, they are not properly screened for purity and potency which may raise serious questions regarding their possible herb-drug interaction with conventional medicine that may cause serious adverse effects on human health [152]. In order to address this issue, more pharmacokinetic and toxicological research have been conducted to examine the efficacy and safety of essential oils.

7.1. In Vitro Studies

In order to determine the recovery of nerolidol, *Saccharomyces cerevisiae* prototrophic haploid strain IWD72 was incubated in YEPD medium comprised of yeast extract, bacteriological peptone, glucose, adenine, and uracil. Nerolidol (100 µg/mL) was subsequently added to the 50 mL bacteria culture in YEPD medium. The aerobic culture cells were harvested by centrifugation after 24 h, and the cells were collected for the recovery of nerolidol. Residual nerolidol recovered at 24 h was 79.0 µg/mL [14,153].

7.2. In Vivo Studies

Rats were fed 20 and 40 mg of nerolidol that were mixed with 1 mL of cottonseed oil and 30–35 mL of evaporated milk per day, for eight days. The average daily excretion on the 1st to 4th and 4th to 8th day was monitored. Rats fed with 20 mg/day of nerolidol excreted 0.3 and 0.7 mg of nerolidol per day with a maximum average of 0.9 mg. On the other hand, the rats fed with 40 mg/day of nerolidol excreted 1.0 and 1.6 mg per day with a maximum average of 2.1 mg [14,154].

Based on a recent *in vivo* pharmacokinetic study conducted by Saito *et al.*, nerolidol (*cis-/trans*-nerolidol, 1:3; *w/w*) was quantitatively determined in mouse plasma using GC-MS

method [22]. Three BALB/c mice weighing 20 ± 2 g were firstly fed orally with a single oral dose of 1000 mg/kg of nerolidol. Blood samples were then taken at 30 min, 1, 2, 3, 4, 5, 6, 8, and 12 h after oral administration, followed by separation of plasma from blood via centrifugation and GC-MS analysis. The level of nerolidol was observed in the plasma with its maximum concentration of ~0.27 $\pm 0.07~\mu g/mL$ within 30 min after oral administration and remained constant for up to 3 h after administration, reaching a maximum concentration of ~0.35 $\pm 0.05~\mu g/mL$ after 6 h of administration. The concentration of nerolidol in plasma after 6 hours was twice the IC50 concentration of *in vitro* nerolidol administration on *P. falciparum* (0.169 $\mu g/mL$) [155]. Moreover, this maximum concentration was detected to be ~1460 times lower than the concentration required to induce 50% hemolysis (~511 $\mu g/mL$). The concentration of nerolidol in plasma decreased to near zero 12 h after oral administration. These results indicated that the maximum concentration in mouse plasma after oral administration was below the hemolytic concentration, thus the safe oral dose is up to 1000 mg/kg.

In another recent study, He *et al.* utilized LC-MS instead of GC-MS method to quantitatively determine the *in vivo* pharmacokinetics of nerolidol (*cis-/trans*-nerolidol, 2:3) in rat plasma [25]. Sprague-Dawley rats weighing 250–300 g were firstly administered only once with 25 mg/kg of nerolidol via intraperitoneal injection. Blood samples were then collected at 10, 20, 30, 60, 90, 120, 240 and 360 min after injection, followed by separation of plasma from blood via centrifugation. Through the LC-MS analysis, they revealed that the maximum concentration of nerolidol observed in rat plasma was $8.30 \pm 1.07 \,\mu\text{g/mL}$ at 20 min after single intraperitoneal injection. The concentration of nerolidol in plasma decreased to near zero two hours after intraperitoneal injection.

A comparative pharmacokinetic study between work by Saito *et al.* [22] and He *et al.* [25] revealed that the oral administration of nerolidol exhibited lower peak plasma concentration than that of intraperitoneal administration (Table 5). This is because orally administered nerolidol must first undergo first-pass effect by which it must pass through the intestinal wall and then to the portal circulation and liver [157]. As a result, a portion of the oral dose of nerolidol was lost during the first pass metabolism in the liver, thus contributing to low bioavailability as compared to that of intraperitoneal injection [158]. Another key point of the pharmacokinetic comparison between the two studies is that the human equivalent doses (HEDs) of both administration calculated in Table 5 can be used as appropriate starting doses of nerolidol in human clinical trials.

Table 5

Parameters	Saito <i>et al</i> . [<u>22</u>]	He <i>et al.</i> [<u>25</u>]	
T of	Mixture of cis- and trans-	Mixture of cis- and trans-	
Type of nerolidol	nerolidol (1:3)	nerolidol (2:3)	
Analytical method used	GC-MS	LC-MS	
Animal used	BALB/c mice	Sprague-Dawley rats	
Route of administration	Oral	Intraperitoneal injection	
Dosage (mg/kg)	1000	25	
Type of sample used	Plasma		
Time callection taken (min)	30, 60, 120, 180, 240, 300,	10, 20, 30, 60, 90, 120, 240	
Time collection taken (min)	360, 480 and 720	and 360	
Peak plasma concentration (C _{max}) (μg/mL)	$\sim\!\!0.27\pm0.07$	8.30 ± 1.07	
Peak time (T _{max}) (min)	30	20	
Elimination half life (T _{1/2}) (min)	n.a.	20.98 ± 7.71	

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Key: (i) n.a. = data not available; (ii) a = HED values were calculated based on the formula for dose translation based on body surface area (BSA) [156] as follows: Human equivalent dose (HED) (mg/kg) = Animal dose (mg/kg) $\times \frac{Animal\ Km}{Human\ Km}$; with adult human, rat and mouse K_m factors of 37, 6 and 3 respectively [156].

7.3. Toxicological Studies

Despite the long history of therapeutic uses of EOs and its general acknowledgment by the public, the assumption that the HEDs can be used for the first clinical trial has however seldom been verified, thus raising the concern of safety issues pertaining the usage of EOs [159]. For that reason, toxicological screenings are required to assess the potential toxicities induced by the EOs.

7.3.1. Acute Toxicity The dermal LD_{50} values of nerolidol (isomer not specified) in rabbit was found to be higher than 2000 mg/kg body weight, thus indicating low acute toxicity via transdermal route [13]. In terms of oral administration, the oral LD_{50} values of nerolidol in rats and mice were higher than 2000 mg/kg body weight (>5000 and 9976 mg/kg body weight, respectively), indicating low acute toxicity via oral route [13].

- 7.3.2. Skin Irritation and Sensitization Studies In human studies, 4% nerolidol (isomer not specified) administration in a pre-test for a maximization study with single occlusive application for 48 h did not cause skin irritation [13]. On the other hand, the application of undiluted nerolidol (isomer not specified) on intact and abraded skin caused well-defined erythema or slight edema in rabbits after 48 h, whereas 5% nerolidol in diethylphthalate caused very slight edema in one animal and was cleared after 48 h [13]. With regard to skin sensitization, human studies have shown that nerolidol (isomer not specified) (4%) did not cause any positive reactions in maximization tests or repeated insult patch tests. In animal studies, the administration of nerolidol in guinea pigs resulted in weak reactions in two adjuvant tests with concentrations of 3% and 10% [160,161]. However, no cross-sensitization was observed when guinea pigs induced with farnesyl acetate were cross-sensitized with nerolidol.
- 7.3.3. Mucous Membrane Irritation No human data is available for mucous membrane irritation studies [13]. However, in animal studies, undiluted nerolidol initially caused very slight redness, but was cleared by 2 h. On the other hand, 5% nerolidol in DEP poses no mucous membrane irritation [13].
- 7.3.4. Phototoxicity and Photoallergenicity UV spectra for nerolidol indicated that it did not absorb UVB light (290–320 nm) [162]. However, nerolidol peaked at the UVC range (220–240 nm) with a very slight absorption at 250–300 nm range, thus do not possibly induce phototoxicity or photoallergy under the current conditions of use as fragrance ingredients [13].
- 7.3.5. Reproductive and Developmental Toxicity In order to investigate the development of fetal epidermal permeability barrier *in vitro*, the activators of the receptors for vitamin D_3 and retinoids, and of the peroxisome proliferator activated receptors (PPARs) and the farnesoid X-activated receptor (FXR) were examined. Sprague-Dawley rats were firstly impregnated (plug date = day 0) in order for the skin of 17-day old fetus to be cultured for the measurement of barrier function. The effect of activators of FXR, isoprenoid precursors and metabolites on the development of epidermal barrier was monitored. Explants were incubated in the presence of 100 μ M nerolidol for two days. Full-thickness flank skin was excised from fetal rats for skin analysis with light and electron microscopy. The results have shown that nerolidol did not activate the FXR, thus did not alter the epidermal barrier during skin development [14,163].

7.3.6. Cytotoxicity and Genotoxicity

7.3.6.1. In Vitro Studies Due to nerolidol being used as a potent skin permeation enhancer with low toxicity, a number of studies were conducted on the toxicological properties of nerolidol. Mendanha *et al.* compared the hemolytic and toxic effects of nerolidol and various monoterpenes on fibroblast cells as well as their effect on erythrocyte membrane fluidity

[155]. By using the 3T3 NRU assay to evaluate the cytotoxicity of nerolidol and various monoterpenes (α -terpineol, L-(-)-carvone, (+)-limonene, L-menthone, D,L-menthol, pulegone and 1,8-cineole) on fibroblast cells, nerolidol was found to be the most cytotoxic with its IC₅₀ value of 0.06 ± 0.01 mM. Besides, nerolidol caused 50% hemolysis at 2.3 ± 0.8 mM and induced a significant increase in the fluidity of erythrocyte membrane at 2.5×10^9 molecules/cell, indicating that the nerolidol possessed the highest hemolytic effect on erythrocyte membrane fluidity when compared to terpenes. In addition, electron paramagnetic resonance (EPR) spectroscopy of the spin label 5-doxyl stearic acid (5-DSA) was used to investigate the effect of terpenes on membrane fluidity in erythrocyte and fibroblast cells. Nerolidol was found to be more potent than terpenes that caused an increase in the membrane fluidity. The results implied that nerolidol was able to increase membrane fluidity but also had increased ability to disrupt the membrane and had higher cytotoxic potential.

Ferreira *et al.* further examined the toxicity of nerolidol (a racemic mixture of *cis*- and *trans*-isomers) (1:1) on mitochondrial and cellular energetics in *in vivo* model using Wister rat liver mitochondria and *in vitro* model using HepG2 (human hepatocellular liver carcinoma) cells [12]. In the *in vitro* study, nerolidol exertes hepatic cell cytotoxicity due to a decrease in ATP/ADP levels by negatively interfering with hepatic mitochondrial bioenergetics in concentrations lower than 2.4 μ M. Consequently, nerolidol induced cell arrest and cell death which is possibly due to the inhibition of F_0F_1 -ATP synthase in a concentration-dependent manner. In the *in vivo* study, nerolidol (low doses up to 2.4 μ M) induced a decrease in transmembrane electric potential in the mitochondrial membrane isolated from rat liver in a concentration-dependent manner [12]. By decreasing transmembrane electric potential, nerolidol could negatively affect the hepatic mitochondrial bioenergetics as it would cause mitochondrial dysfunction, thus leading to hepatic cell cytotoxicity and eventually cell death [164].

Marques *et al.* investigated the cytotoxicity effect of EO extracted from leaves of *P. claussenianum* and reported no toxicity effect in the fibroblasts nor macrophages cell lines in any concentration tested (ranging from 40 to 0.56 mg/mL) [9]. Similarly, the EO extracted from leaves of *P. claussenianum* did not induce toxicity on the L929 mouse fibroblast cells [78]. Peres *et al.* investigated the cytotoxicity effect of EO purified from *Piper gaudichaudianum* Kunth in which its major compounds were *trans*-nerolidol, α-humulene, (*E*)-caryophyllene and bicyclogermacrene [165]. Although dose-dependent cytotoxicity effect of the EO as well as single-strand DNA breakage were observed in the Chinese hamster lung fibroblast cells (V79 cells), however, no double-strand breaks occurred. Furthermore, the EO induced a significant increase in lipid peroxidation at higher dose. The results indicated that the EO possessed strong cytotoxicity, genotoxic and mutagenic effects which were attributed to the role of nerolidol. Due to this reason, Sperotto *et al.* further investigated the cytotoxic and mutagenic properties of the EO of *P. gaudichaudianum* as well

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as its major compound trans-nerolidol using Saccharomyces cerevisiae as a model organism [166]. P. gaudichaudianum EO was found to induce cytotoxic effects in the XV185-14c and N123 strains of S. cerevisiae but induced mutagenesis only at the lys1 locus at the highest concentration of 100 µg/mL. On the other hand, nerolidol (a racemic mixture of cis- and trans-isomers) (1:1) was found to be cytotoxic in XV185-14c at concentration of 25, 50 and 100 μg/mL and did not significantly cause any induction of mutagenicity at the three loci evaluated. Moreover, EO and nerolidol were discovered to generate ROS via DCF-DA probing assay in superoxide dismutase (Sod)-deficient strains. Based on these findings, Sperotto et al. [166] claimed that nerolidol shows a weak mutagenic effect but exerts strong cytotoxicity effect which could be attributed to the formation of reactive oxygen species (ROS) and the formation of single-strand breaks. Despite that, more in depth analysis involving molecular techniques could be conducted to elucidate the mechanisms that are responsible for the strong cytotoxic but weak mutagenic effects of nerolidol. Furthermore, toxicogenomics could be an option to be considered in further evaluating the safety of nerolidol. Briefly, toxicogenomic is based on the integration of genomics and toxicology with the aim of studying the toxicity of xenobiotics on the biological systems via global analysis of genome-wide mRNA expression (transcriptomics), protein (proteomics) and metabolite patterns (metabonomics) [167]. Due to its wide usage in the research of plant-based medicinal natural products, particularly in traditional Chinese medicine (TCM) [168], toxicogenomics could perhaps be an effective tool in evaluating the safety of nerolidol at the genomic level to ensure that it is safe for humans. Besides that, more in vitro experiments investigating the induction of micronuclei, chromosome aberration or telomere shortening effect of nerolidol may also be performed in order to confirm the genotoxicity status of nerolidol in human or mammalian cells.

7.3.6.2. In Vivo Studies Pículo et al. had investigated the genotoxicity and clastogenicity (ability to cause DNA damage by inducing chromosomal aberrations) effects of transnerolidol in blood and liver cells of 12-week-old male Swiss albino mice (Mus musculus) using comet and micronucleus assays respectively [169]. The experiment was based on the cytotoxicity effect analysis by scoring 200 consecutive total polychromatic (PCE) and normochromatic (NCE) erythrocytes (PCE:NCE ratio) in bone marrow cells and no significant decrease in PCEs:NCEs ratios at the three doses tested (250, 500 and 2000 mg kg⁻¹) was observed, indicating the absence of cytotoxic effects of trans-nerolidol at these doses. Nevertheless, weak genotoxic effects of trans-nerolidol in the blood and liver cells were observed and a slight increase in the DNA damage at higher doses. Based on the available studies, it can be deduced that the non-toxic dose of trans-nerolidol for animal is up to 2000 mg/kg. Moreover, no DNA damage was observed in the peripheral blood cells and liver of the animals. In order to determine the safety of nerolidol consumption in humans, more clinical trials should be conducted to assess and validate the toxicity and side effects of nerolidol in humans.

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The biosynthesis pathway of (3S)-(E)-nerolidol as an intermediate product for the production of DADIT as an harbitrary induced valetile to protect the plant assignst harbitrary demands

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Author Contributions

Go to: 🗹

All authors contributed equally.

Conflicts of Interest

Go to: ☑

The authors declare no conflict of interest.

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